```
=> DISPLAY HISTORY
ENTER (BRIEF), FULL, OR NOFILE: BRIEF
ENTER (L1-), L#, OR ?:11-L10
     (FILE 'HOME' ENTERED AT 08:08:19 ON 12 JUL 2005)
     FILE 'REGISTRY' ENTERED AT 08:08:31 ON 12 JUL 2005
L1
           4681 S TCGA.*CGAACGTTCG/SQSN
L2
             38 S L1 AND SQL<=150
     FILE 'USPATFULL, PCTFULL, CAPLUS, BIOSIS, GENBANK' ENTERED AT 08:14:26 ON
     12 JUL 2005
           5509 S L1
L3
             25 S L2
L4
           4975 DUPLICATE REMOVE L3 USPATFULL GENBANK (534 DUPLICATES REMOVED)
L5
           4089 S L5 NOT (HUMAN OR SAPIENS)
L6
L7
            123 S L6 AND PATENT/DT
     FILE 'REGISTRY' ENTERED AT 08:37:39 ON 12 JUL 2005
              1 S 114654-75-0/RN
L8
                SET NOTICE 1 DISPLAY
                SET NOTICE LOGIN DISPLAY
=> S L1
          4681 TCGA.*CGAACGTTCG/SQSN
```

L9

Welcome to STN International! Enter x:x

LOGINID: SSSPTA1802PXD

PASSWORD:

TERMINAL (ENTER 1, 2, 3, OR ?):2

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                 Web Page URLs for STN Seminar Schedule - N. America
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                 "Ask CAS" for self-help around the clock
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NEWS
                 PATDPAFULL - New display fields provide for legal status
                 data from INPADOC
NEWS
         FEB 28
                 BABS - Current-awareness alerts (SDIs) available
                 GBFULL: New full-text patent database on STN
NEWS
         MAR 02
                 REGISTRY/ZREGISTRY - Sequence annotations enhanced
NEWS
        MAR 03
NEWS
        MAR 03
                MEDLINE file segment of TOXCENTER reloaded
NEWS 8
        MAR 22
                 KOREAPAT now updated monthly; patent information enhanced
NEWS
     9 MAR 22
                 Original IDE display format returns to REGISTRY/ZREGISTRY
NEWS 10 MAR 22
                 PATDPASPC - New patent database available
                REGISTRY/ZREGISTRY enhanced with experimental property tags
NEWS 11 MAR 22
NEWS 12 APR 04
                EPFULL enhanced with additional patent information and new
                 fields
NEWS
     13 APR 04
                 EMBASE - Database reloaded and enhanced
                 New CAS Information Use Policies available online
NEWS
     14 APR 18
NEWS
    15 APR 25
                 Patent searching, including current-awareness alerts (SDIs),
                 based on application date in CA/CAplus and USPATFULL/USPAT2
                 may be affected by a change in filing date for U.S.
                 applications.
NEWS
     16 APR 28
                 Improved searching of U.S. Patent Classifications for
                 U.S. patent records in CA/CAplus
NEWS
     17 MAY 23
                 GBFULL enhanced with patent drawing images
NEWS
     18 MAY 23
                 REGISTRY has been enhanced with source information from
                 CHEMCATS
NEWS
     19 JUN 06
                 The Analysis Edition of STN Express with Discover!
                 (Version 8.0 for Windows) now available
                 RUSSIAPAT: New full-text patent database on STN
NEWS
     20 JUN 13
NEWS
    21 JUN 13
                 FRFULL enhanced with patent drawing images
NEWS 22 JUN 27
                MARPAT displays enhanced with expanded G-group definitions
                 and text labels
     23 JUL 01
NEWS
                MEDICONF removed from STN
NEWS 24 JUL 07 STN Patent Forums to be held in July 2005
NEWS EXPRESS
             JUNE 13 CURRENT WINDOWS VERSION IS V8.0, CURRENT
              MACINTOSH VERSION IS V6.0c(ENG) AND V6.0Jc(JP),
              AND CURRENT DISCOVER FILE IS DATED 13 JUNE 2005
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              General Internet Information
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              Welcome Banner and News Items
NEWS PHONE
              Direct Dial and Telecommunication Network Access to STN
NEWS WWW
              CAS World Wide Web Site (general information)
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FILE 'HOME' ENTERED AT 12:26:46 ON 12 JUL 2005

=> FILE REGISTRY
COST IN U.S. DOLLARS

SINCE FILE TOTAL ENTRY SESSION 0.21 0.21

FULL ESTIMATED COST

FILE 'REGISTRY' ENTERED AT 12:26:55 ON 12 JUL 2005 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2005 American Chemical Society (ACS)

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Experimental and calculated property data are now available. For more information enter HELP PROP at an arrow prompt in the file or refer to the file summary sheet on the web at: http://www.cas.org/ONLINE/DBSS/registryss.html

=> S TCGTCGAACGTTCGAGATGAT/SQSN L1 31 TCGTCGAACGTTCGAGATGAT/SQSN

=> D KWIC SQL 1-31

L1 ANSWER 1 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 2 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 3 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 4 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 5 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SOL 21

L1 ANSWER 6 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 7 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 8 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 9 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

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HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 10 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 11 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 12 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 13 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 14 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 15 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 16 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

L1 ANSWER 17 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

L1 ANSWER 18 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 19 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SOL 21

L1 ANSWER 20 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*  $\ensuremath{\mathsf{SQL}}$  21

L1 ANSWER 21 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SOL 21

L1 ANSWER 22 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 23 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*
SQL 21

L1 ANSWER 24 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t 

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SQL 21

ANSWER 25 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

SEQ 1 tcgtcgaacg ttcgagatga t 

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SQL 21

ANSWER 26 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

SEQ 1 tcgtcgaacg ttcgagatga t 

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SOL 21

ANSWER 27 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

SEQ 1 tcgtcgaacg ttcgagatga t 

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SOL 21

ANSWER 28 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

SEO 1 tcgtcgaacg ttcgagatga t \_\_\_\_\_\_ =

1-21 HITS AT:

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SQL 21

ANSWER 29 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

SEQ 1 tcgtcgaacg ttcgagatga t 

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\*

SQL 21

ANSWER 30 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN L1

1 tcgtcgaacg ttcgagatga t SEQ 

HITS AT: 1-21

1 tcgtcgaacg ttcgagatga t SEQ

HITS AT: 1-21

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21 SQL 63,21,21,21

L1 ANSWER 31 OF 31 REGISTRY COPYRIGHT 2005 ACS on STN

SEQ 1 tcgtcgaacg ttcgagatga t

HITS AT: 1-21

\*\*RELATED SEQUENCES AVAILABLE WITH SEQLINK\*\* SQL 21

=> FILE USPATFULL CAPLUS BIOSIS GENBANK

COST IN U.S. DOLLARS

SINCE FILE TOTAL ENTRY SESSION 191.47 191.68

FULL ESTIMATED COST

FILE 'USPATFULL' ENTERED AT 12:30:03 ON 12 JUL 2005
CA INDEXING COPYRIGHT (C) 2005 AMERICAN CHEMICAL SOCIETY (ACS)

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FILE 'GENBANK' ENTERED AT 12:30:03 ON 12 JUL 2005

=> S L1

L2 16 L1

=> D L2 BIB AB

L2 ANSWER 1 OF 16 USPATFULL on STN

AN 2004:172513 USPATFULL

TI Chimeric immunomodulatory compounds and methods of using the same-IV

IN Fearon, Karen L., Lafayette, CA, UNITED STATES Dina, Dino, Oakland, CA, UNITED STATES

Tuck, Stephen F., Oakland, CA, UNITED STATES

PI US 2004132677

A1 20040708

AI US 2003-623371 A1 20030718 (10)

RLI Continuation-in-part of Ser. No. US 2002-328578, filed on 23 Dec 2002, PENDING Continuation-in-part of Ser. No. US 2002-176883, filed on 21 Jun 2002, PENDING Continuation-in-part of Ser. No. US 2002-177826, filed on 21 Jun 2002, PENDING

PRAI US 2001-299883P 20010621 (60) US 2002-375253P 20020423 (60) US 2002-375253P 20020423 (60) US 2001-299883P 20010621 (60)

DT Utility

FS APPLICATION

LREP MORRISON & FOERSTER LLP, 755 PAGE MILL RD, PALO ALTO, CA, 94304-1018

CLMN Number of Claims: 15 ECL Exemplary Claim: 1

DRWN 21 Drawing Page(s)

LN.CNT 8072

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB The invention provides immunomodulatory compounds and methods for immunomodulation of individuals using the immunomodulatory compounds.

NO VALID FORMATS ENTERED FOR FILE 'GENBANK'

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In a multifile environment, each file must have at least one valid format requested. Refer to file specific help messages or the STNGUIDE file for information on formats available in individual files.

REENTER DISPLAY FORMAT FOR ALL FILES (FILEDEFAULT): AB NO VALID FORMATS ENTERED FOR FILE 'GENBANK'

In a multifile environment, each file must have at least one valid format requested. Refer to file specific help messages or the STNGUIDE file for information on formats available in individual files.

REENTER DISPLAY FORMAT FOR ALL FILES (FILEDEFAULT):TI

- L2 ANSWER 1 OF 16 USPATFULL on STN
- TI Chimeric immunomodulatory compounds and methods of using the same-IV
- L2 ANSWER 2 OF 16 USPATFULL on STN
- TI Chimeric immunomodulatory compounds and methods of using the same III
- L2 ANSWER 3 OF 16 USPATFULL on STN
- TI Chimeric immunomodulatory compounds and methods of using the same 11
- L2 ANSWER 4 OF 16 USPATFULL on STN
- TI Chimeric immunomodulatory compounds and methods of using the same I
- L2 ANSWER 5 OF 16 USPATFULL on STN
- TI Immunomodulatory polynucleotides and methods of using the same
- L2 ANSWER 6 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Synergistic stimulation of the immune system using immunostimulatory oligonucleotides and/or immunomer compounds in conjunction with cytokines and/or chemotherapeutic agents or radiation therapy
- L2 ANSWER 7 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Immunostimulatory oligonucleotides, sequences, and methods of using the same
- L2 ANSWER 8 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Chimeric immunomodulatory compounds and methods of using the same
- L2 ANSWER 9 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Particulate immunostimulant
- L2 ANSWER 10 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI IL-10 regulates plasmacytoid dendritic cell response to CpG-containing immunostimulatory sequences
- L2 ANSWER 11 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Chimeric immunomodulatory compounds comprising two or more nucleic acid moieties and non-nucleic acid spacer
- L2 ANSWER 12 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Rational design of new CpG oligonucleotides that combine B cell activation with high IFN- $\alpha$  induction in plasmacytoid dendritic cells
- L2 ANSWER 13 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Identification of a novel CpG DNA class and motif that optimally stimulate B cell and plasmacytoid dendritic cell functions

- L2 ANSWER 14 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Chimeric immunomodulatory compounds comprising nucleic acids linked through dendrimer or polysaccharide spacer and antigen for treating allergy, infection or cancer
- L2 ANSWER 15 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- TI Immunomodulatory oligonucleotides containing immunostimulatory sequences for treatment of disorders associated with a Th2-type immune response
- L2 ANSWER 16 OF 16 GENBANK® COPYRIGHT 2005 on STN
  - TITLE (TI): Immunomodulatory polynucleotides and methods of using the same

## => D BIB AB 6

- L2 ANSWER 6 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2005:99311 CAPLUS
- DN 142:191248
- TI Synergistic stimulation of the immune system using immunostimulatory oligonucleotides and/or immunomer compounds in conjunction with cytokines and/or chemotherapeutic agents or radiation therapy
- IN Kandimalla, Ekambar R.; Agrawal, Sudhir
- PA Hybridon, Inc., USA
- SO PCT Int. Appl., 111 pp.
- CODEN: PIXXD2
- DT Patent
- LA English
- FAN.CNT 1

0715
, CH,
, GD,
, LC,
, NI,
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, ZW
, AM,
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, SE,
, NE,
, AI , DI , SI

PRAI US 2003-487529P P 20030715 US 2003-503242P P 20030915

AB The invention provides optimized methods and compns. for enhancing the immune response caused by immunostimulatory compds. used for the treatment of disease such as, but not limited to, treatment of cancer, autoimmune disorders, asthma, respiratory allergies, food allergies and infectious diseases in a patient. The optimized methods according to the invention provide synergy between the therapeutic effects of immunostimulatory oligonucleotides and immunomer compds. in accordance with the invention, and the therapeutic effect of cytokine immunotherapy and/or chemotherapeutic agents and/or radiation.

## => D BIB AB 7

- L2 ANSWER 7 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2004:566552 CAPLUS
- DN 141:99693
- TI Immunostimulatory oligonucleotides, sequences, and methods of using the same

```
IN
     Dina, Dino; Fearon, Karen L.; Marshall, Jason
PA
     Dynavax Technologies, USA
     PCT Int. Appl., 119 pp.
SO
     CODEN: PIXXD2
DT
     Patent
LA
     English
FAN.CNT 1
     PATENT NO.
                        KIND DATE
                                         APPLICATION NO.
                                                                 DATE
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                                           -----
                                                                  _____
PΙ
     WO 2004058179
                         A2
                               20040715
                                          WO 2003-US41001
                                                                  20031218
     WO 2004058179
                         А3
                               20041111
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             CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
             GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
             LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO,
             NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ,
             TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
         RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ,
             BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE,
             ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,
             TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
PRAI US 2002-436122P
                      Р
                               20021223
     US 2003-447885P
                         Ρ
                               20030213
     US 2003-467546P
                         P
                               20030501
AB
     The invention provides immunomodulatory polynucleotides (IMPs) and methods
     for immunomodulation of individuals using the immunomodulatory
     polynucleotides. In accordance with the present invention, the IMP
     contains at least one palindromic sequence of at least 8 bases in length
     containing at least one CG dinucleotide. The IMP also contains at least one
     TCG trinucleotide sequence at or near the 5'-end of the polynucleotide.
     In some instances, the palindromic sequence and the 5'-TCG are separated by 0,
     1, 2, 3, 4 or 5 bases in the IMP. In some instances the palindromic
     sequence includes all or part of the 5'-TCG. Claimed is an
     immunomodulatory polynucleotide, comprising: (a) 5'-
     Nx(TCG(Nq))yNw(X1X2CGX2'X1'(CG)p)z (SEQ ID NO: 156) wherein N are
     nucleosides, x = 0-3, yr = 1-4, w = -2, -1, 0, 1 or 2, p = 0 or 1, q = 0,
     1 or 2, and z = 1-20, X1 and X1', X2 and X2' are self-complimentary
     nucleosides, and wherein the 5' T of the (TCG(Nq))y sequence is 0-3 bases
     from the 5' end of the polynucleotide; and (b) a palindromic sequence at
     least 8 bases in length wherein the palindromic sequence comprises the
     first (X1X2CGX2'X,') of the (X1X2CGX2'X1'(CG)p)z sequences.
=> D BIB AB 9
L2
     ANSWER 9 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
AN
     2004:142919 CAPLUS
     140:198064
DN
TI
     Particulate immunostimulant
ΙN
     Van Nest, Gary; Tuck, Stephen
PΑ
     Dynavax Technologies Corporation, USA
SO
     PCT Int. Appl., 90 pp.
     CODEN: PIXXD2
DT
     Patent
LA
    English
FAN.CNT 1
                        KIND
                               DATE
                                           APPLICATION NO.
                                                                  DATE
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                                                                  _____
ΡI
    WO 2004014322
                        A2
                               20040219
                                           WO 2003-US25415
                                                                  20030812
    WO 2004014322
                         A3
                               20040708
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             FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
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     CA 2494911
                          AΑ
                                20040219
                                            CA 2003-2494911
                                                                    20030812
PRAI US 2002-402968P
                          Ρ
                                .20020812
     WO 2003-US25415
                          W
                                20030812
```

AB The authors disclose immunomodulatory compns. which comprise a cationic condensing agent, an immunomodulatory compound, and a stabilizing agent. The compns. of the invention typically form particles which have increased immunomodulatory activity as compared to immunomodulatory compds. not formulated in the compns. of the invention. Also provided are methods of making the compns. and methods for therapeutic use of the compns. In one example, interferon- $\gamma$  release by human mononuclear cells was shown to be enhanced by the combination of CpG oligonucleotide, polymyxin B, and Tween-80.

#### => D BIB AB 10

- L2 ANSWER 10 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2003:975836 CAPLUS
- DN 140:75912
- TI IL-10 regulates plasmacytoid dendritic cell response to CpG-containing immunostimulatory sequences
- AU Duramad, Omar; Fearon, Karen L.; Chan, Jean H.; Kanzler, Holger; Marshall, Jason D.; Coffman, Robert L.; Barrat, Franck J.
- CS Dynavax Technologies Corporation, Berkeley, CA, USA
- SO Blood (2003), 102(13), 4487-4492 CODEN: BLOOAW; ISSN: 0006-4971
- PB American Society of Hematology
- DT Journal
- LA English
- AB Immunostimulatory sequences (ISS) are short oligonucleotides containing unmethylated cytosine-phosphate-guanine (CpG) dinucleotides that stimulate innate immune responses through Toll-like receptor-9 on B cells and plasmacytoid dendritic cell (PDC) precursors. The anti-inflammatory cytokine interleukin (IL)-10 is predicted to be a potent inhibitor of many of the activities described for ISS, and this may impact the use of ISS in disease states characterized by elevated IL-10. As the activities of ISS on PDCs are central to many clin. applications of ISS, we have studied the effects of IL-10 on PDC stimulation by 3 distinct classes of ISS. IL-10 inhibited cytokine production and survival of ISS-activated PDCs; however, IL-12 induction was much more sensitive to inhibition than interferon (IFN)- $\alpha$  induction. Within the PDC population are cells that respond to ISS by producing either IL-12 or IFN- $\alpha$  but not both cytokines. IL-12-producing PDCs require costimulation through CD40 and appear more mature than IFN- $\alpha$ -producing PDCs. The 3 distinct classes of ISS differed with respect to induction of PDC maturation and T-cell priming capacity. IL-10 regulated PDC activation but did not inhibit the subsequent T-cell-priming ability of PDCs already activated by ISS.
- RE.CNT 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

# => D BIB AB 11

- L2 ANSWER 11 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2003:950038 CAPLUS
- DN 140:26897
- TI Chimeric immunomodulatory compounds comprising two or more nucleic acid moieties and non-nucleic acid spacer
- IN Fearon, Karen L.; Dina, Dino; Tuck, Stephen F.
- PA USA

SO U.S. Pat. Appl. Publ., 96 pp., Cont.-in-part of U.S. Ser. No. 176,883. CODEN: USXXCO

DT Patent LA English

FAN.CNT 3

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	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
ΡI	US 2003225016 .	A1	20031204	US 2002-328578	20021223
	US 2003175731	A1	20030918	US 2002-176883	20020621
	US 2003199466	A1	20031023	US 2002-177826	20020621
	US 2004132677	A1	20040708	US 2003-623371	20030718
PRAI	US 2001-299883P	P	20010621		
	US 2002-375253P	P	20020423	•	
	US 2002-176883	A2	20020621		
	US 2002-177826	A2	20020621		
	US 2002-328578	A2	20021223		

The invention provides immunomodulatory compds. and methods for immunomodulation of individuals using the immunomodulatory compds. The immunomodulatory compds. comprise two or more nucleic acid moieties and a non-nucleic acid spacer moiety. The nucleic acid contains e.g. 5'-CG-3', 5'-TCG-3', 5'-TCGA-3', 5'-TCGACGT-3', or 5'-TCGACGA-3'; and the non-nucleic acid is an oligoethylene glycol such as hexaethylene glycol. The chimeric compds. are incorporated into endotoxin-free compns. comprising antigen, pharmaceutically acceptable excipient, and optionally a cationic microsphere for modulating immune response.

## => D BIB AB 12

- L2 ANSWER 12 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2003:499872 CAPLUS
- DN 139:99590
- TI Rational design of new CpG oligonucleotides that combine B cell activation with high IFN- $\alpha$  induction in plasmacytoid dendritic cells
- AU Hartmann, Gunther; Battiany, Julia; Poeck, Hendrik; Wagner, Moritz; Kerkmann, Miren; Lubenow, Norbert; Rothenfusser, Simon; Endres, Stefan
- CS Department of Internal Medicine, Division of Clinical Pharmacology, Ludwig-Maximilians-University of Munich, Munich, Germany
- SO European Journal of Immunology (2003), 33(6), 1633-1641 CODEN: EJIMAF; ISSN: 0014-2980
- PB Wiley-VCH Verlag GmbH & Co. KGaA
- DT Journal
- LA English
- Two different types of CpG motif-containing oligonucleotides (CpG ODN) have AB been described: CpG-A with high induction of IFN- $\alpha$  in plasmacytoid dendritic cells; and CpG-B with little induction of IFN- $\alpha$ , but potent activation of B cells. In this study, we demonstrate that CpG-A fail to activate B cells unless plasmacytoid dendritic cells are present. We identified a new set of CpG ODN sequences which induces high levels of IFN- $\alpha$  in plasmacytoid dendritic cells but remains capable of directly activating B cells. These new CpG ODN (termed CpG-C) are more potent stimulants of B cells than CpG-B due to their ability of directly and indirectly (via plasmacytoid dendritic cells) activating B cells. sequence of CpG-C combines structural elements of both CpG-A and CpG-B. The most potent sequence, M362, contains a 5'-end "TCGTCG-motif" and a "GTCGTT-motif", both of which are present in CpG-B (ODN 2006); a palindromic sequence characteristic for CpG-A (ODN 2216); but no poly G motif required for CpG-A. In conclusion, we defined the first CpG-containing sequences that potently activate both TLR9-expressing immune cell subsets in humans, the plasmacytoid dendritic cell and the B cell. CpG-C may allow for improved therapeutic immuno-modulation in vivo.
- RE.CNT 34 THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

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ANSWER 14 OF 16 CAPLUS COPYRIGHT 2005 ACS on STN
     2003:6160 CAPLUS
ΑN
DN
TΙ
     Chimeric immunomodulatory compounds comprising nucleic acids linked
     through dendrimer or polysaccharide spacer and antigen for treating
     allergy, infection or cancer
ΙN
     Fearon, Karen L.; Dina, Dino; Tuck, Stephen F.
     Dynavax Technologies Corporation, USA
PA
SO:
     PCT Int. Appl., 224 pp.
     CODEN: PIXXD2
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     Patent
     English
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FAN.CNT 3
     PATENT NO.
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PΙ
     WO 2003000922
                         A2
                               20030103
                                          WO 2002-US20025
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     WO 2003000922
                         А3
                               20031023
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             PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ,
             UA, UG, US, UZ, VN, YU, ZA, ZM, ZW
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     EP 1404873
                         A2
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                                          EP 2002-744589
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             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
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PRAI US 2001-299883P
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     US 2002-375253P
                         Р
                               20020423
     WO 2002-US20025
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                               20020621
AB
     The invention provides immunomodulatory compds. (CIC) and methods for
     immunomodulation of individuals using the immunomodulatory compds. The
     CIC comprises one or more nucleic acid moieties and one or more
     non-nucleic acid moieties such as dendrimer, polysaccharide, and
     crosslinked polysaccharide through phosphodiester, phosphorothioate ester,
     phosphorodithioate ester, and other linkages. The CIC is capable of
     stimulating production of interferon \gamma and \alpha by human peripheral
     blood mononuclear cells, as well as human B cell proliferation.
     Endotoxin-free compns. comprising the CIC covalently or non-covalently
     conjugated with antigen and cationic microsphere are useful for treating
     disorders associated with IgE or Th2-type immune response such as allergy,
     asthma, infection, viral infection, idiopathic pulmonary fibrosis, and
     cancer.
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